#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): David C. PAUL et al.

Attorney Docket No: GMI.0009.US

Application No.: To Be Assigned

Group Art Unit: To Be Assigned

Filed: January 23, 2004

Examiner: To Be Assigned

For: SPINE STABILIZATION SYSTEM

#### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to Applicants' duty of disclosure under 37 CFR §§ 1.97 and 1.98, enclosed is a Form PTO-1449 that lists eighty-eight (88) references in reverse chronological order. Copies of the references are submitted herewith.

It is respectfully requested that these references be made of record in this application by the Examiner's completion and return of the attached Form PTO-1449.

No fee is believed to be due for this submission, since it is being made before an initial Office Action on the merits of the above-identified application.

Respectfully submitted,

Dated:

January 23, 2004

By:

John P. Mulgrew 11012 Langton Arms Ct. Oakton, Virginia 22124

(703) 328-5992

#### **FORM PTO-1449**

# INFORMATION DISCLOSURE CITATION

Attorney Docket:
GMI.0009.US
Applicants:
David C. PAUL et al.
Filing Date:
January 23, 2004

Application No.: To Be Assigned Examiner: To Be Assigned Group Art Unit: To Be Assigned

XAMINER	REF.	DOCUMENT	Dim	Num	CLASS	SUB- CLASS
NITIAL	No.	NUMBER	DATE 11/25/03	NAME	CLASS 623	17.11
	AA	6,652,585 B2		Lange Betz et al.	606	61
	AB	6,623,484 B2	9/23/01		606	61
	AC	6,616,669 B2	9/9/03	Ogilvie et al.  Luk et al.	606	61
	AD	6,613,051 B1	9/2/03		606	61
	AE	6,607,530 B1	8/19/03	Carl et al.		61
	AF	6,565,565 B1	5/20/03	Yuan et al.	606	
	AG	6,562,040 B1	5/13/03	Wagner	606	61
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	ACC	5,964,769	10/12/99	Wagner et al.	606	74
	ADD	5,947,966	9/7/99	Drewry et al.	606	61
	AEE	5,743,911	4/28/98	Cotrel	606	61
	AFF	5,725,582	3/10/98	Bevan et al.	623	17
	AGG	5,704,936	1/6/98	Mazel	606	61
	AHH	5,681,310	10/28/97	Yuan et al.	606	61
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	BA	5,651,789	7/29/97	Cotrel	606	61
	BB	5,624,442	4/29/97	Mellinger et al.	606	61
	BC	5,609,634	3/11/97	Voydeville	623	17
	BD	5,575,790	11/19/96	Chen et al.	606	60
Examiner	1 20	1 -,-,-,,	1	Date Considered		

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Group Art Unit:
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	BE	5,562,660				606		103
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	BI	5,413,576	5/9/95	Rivard		606		61
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	BK	5,282,863	2/1/94	Burton		623		17
	BL	5,242,446	9/7/93	Steffee et al.		606		61
	BM	5,180,393	1/19/93	Commarmond		623		13
	BN	5,092,866	3/3/92	Breard et al.		606		61
	ВО	5,011,484	4/30/91	Breard		606		61
	BP	4,917,700	4/17/90	Aikins		623		13
	BQ	4,820,305	4/11/89	Harms et al.		623		16
	+		9/27/88	Asher et al.		128		69
	BR	4,773,402	5/10/88	Burton		623		17
	BS	4,743,260				623		13
	BT	4,728,329	3/1/88	Mansat		<del></del>		
	BU	4,697,582	10/6/87	William		128		69
	BV	4,448,191	5/15/84	Rodnyansky et a	<u>l.                                      </u>	128		69
	BW	4,041,939	8/16/77	Hall		128		69
	BX	3,710,789	1/16/73	Ersek		128		92 BC
	BY	3,648,691	3/14/72	Lumb et al.		128		92 D
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	No.	NUMBER	DATE	COUNTRY	CLA	SS	CLASS	
	BZ	JP 2000325358	11/28/00	Japan				Abstract Only
	CA	JP 11155879	6/6/00	Japan				Abstract Only
	CB	EP 1221308	7/10/02	Europe				Abstract Only
	CC	FR 2728454	6/28/96	France	_			Abstract Only
	CD	EP 677277	10/18/95	Europe				Abstract Only
	CE	EP 667127	8/16/95	Europe				Abstract Only
	CF	WO 95/19149	7/20/95	PCT			ļ <u> </u>	
	CG	WO 94/01057	1/20/94	PCT	_			
	CH	WO 93/20771	10/28/93	PCT				A la -4 O la
	CI	EP 322334	6/28/89	Europe				Abstract Only
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	REF.			D	o Ema			
	No.	AUTHOR, TITLE, AUTHOR, DATE, PERTINENT PAGES, ETC.  U.S. Patent Publication No. 2003/0060824 A1						
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	CM	U.S. Patent Publication No. 2002/0143329 A1 U.S. Patent Publication No. 2002/0133155 A1						
	CN	U.S. Patent Pub	ication No. 20	02/0133133 A1				
	CO	U.S. Patent Publication No. 2002/0120270 A1						

Examiner	I	Date Considered		
Examiner:	miner: Initial if reference consider, whether or not citation is in conformance with MPEP §609.  Draw line through citation if not in conformance and not considered.			
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### FORM PTO-1449

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Application No.: To Be Assigned Examiner: To Be Assigned Group Art Unit: To Be Assigned

CP	U.S. Patent Publication No. 2002/0120269 A1
CQ	U.S. Patent Publication No. 2002/0107524 A1
CR	U.S. Patent Publication No. 2002/0087159 A1
CS	U.S. Patent Publication No. 2002/0082600 A1
CT	U.S. Patent Publication No. 2002/0077701 A1
CU	U.S. Patent Publication No. 2002/0007184 A1
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CX	U.S. Patent Publication No. 2001/0037111 A1
CY	U.S. Patent Publication No. 2001/0029375 A1
CZ	U.S. Patent Publication No. 2001/0027319 A1

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